

General information about company

Scrip code	523660
Name of the company	THE WATERBASE LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	11	23509495			23509495	60.9	23509495		23509495	60.9
(B)	Public	26539	15093755			15093755	39.1	15093755		15093755	39.1
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	26550	38603250			38603250	100	38603250		38603250	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				60.9					22154495
(B)	Public				39.1					12271625
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					34426120

(f)	Institutions/ Banks	2	434			434	0	434		434	0
(i)	Any Other (specify)	1	1600			1600	0	1600		1600	0
Sub-Total (B)(1)		9	626102			626102	1.62	626102		626102	1.62
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	25480	9274781			9274781	24.03	9274781		9274781	24.03
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	39	1828283			1828283	4.74	1828283		1828283	4.74
(e)	Any Other (specify)	1011	3364589			3364589	8.72	3364589		3364589	8.72
Sub-Total (B)(3)		26530	14467653			14467653	37.48	14467653		14467653	37.48
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)		26539	15093755			15093755	39.1	15093755		15093755	39.1
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C)		26550	38603250			38603250	100	38603250		38603250	100
Total (A+B+C)		26550	38603250			38603250	100	38603250		38603250	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				1.04					400800
(d)				59.86					21753695
Sub-Total (A) (1)				60.9					22154495
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				60.9					22154495
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0.02					0
(e)				1.6					617068
(f)				0					0

(i)				0					0
Sub-Total (B) (1)				1.62					617068
(3)	Non-institutions								
(a(i))				24.03					7393285
(a(ii))				4.74					1778283
(e)				8.72					2482989
Sub-Total (B) (3)				37.48					11654557
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				39.1					12271625
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					34426120
Total (A+B+C)				100					34426120
Disclosure of notes on shareholding pattern									Textual Information(1)

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Textual Information()

The Promoter Company, Karam Chand Thapar & Bros (Coal Sales) Limited, had purchased shares amounting to 13,55,000 in physical mode on 05th July, 2016 and the said shares are in the process of being dematerialized. As a result of this, 13, 55,000 shares are shown as physical holding of Promoter as on date of Shareholding Pattern

Individuals/Hindu undivided Family

Searial No.	1	2	3	4	5	
Name of the Shareholders (I)	VARUN ADITYA THAPAR	JYOTI THAPAR	VIKRAMADITYA MOHAN THAPAR	NITASHA THAPAR	AYESHA THAPAR	Click here to go back
PAN (II)	ADOPT2612A	ABWPT8527E	ABNPT6299A	ACQPT6969H	ACQPT3748N	Total
No. of fully paid up equity shares held (IV)	169800	73500	53750	51875	51875	400800
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	169800	73500	53750	51875	51875	400800
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.44	0.19	0.14	0.13	0.13	1.04
Number of Voting Rights held in each class of securities (IX)						
Class eg:X	169800	73500	53750	51875	51875	400800
Class eg:y						
Total	169800	73500	53750	51875	51875	400800
Total as a % of Total Voting rights	0.44	0.19	0.14	0.13	0.13	1.04
No. Of Shares Underlying						

Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.44	0.19	0.14	0.13	0.13	1.04
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	169800	73500	53750	51875	51875	400800
Reason for not providing PAN						
Reason for not						

providing PAN

Any Other (specify)						
Searial No.	1	2	3	4	5	6
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	TOWERBASE SERVICES PVT LTD	INDIAN CITY PROPERTIES LTD	THE DOABA INDUSTRIAL AND TRADING COMPANY PRIVATE LIMITED	THE PUNJAB BUSINESS AND SUPPLY COMPANY PRIVATE LIMITED	KARAM CHAND THAPAR AND BROS J AND K PVT LTD	KARAM CHAND THAPAR & BROS (COAL SALES) LTD
PAN (II)	AABCT5717H	AAACI5534L	AAACT5542M	AAACT5541J	AAACK9741M	AABCK1281H
No. of the Shareholders (I)	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	1215736	382470	180000	30000	20000	21280489
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1215736	382470	180000	30000	20000	21280489
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.15	0.99	0.47	0.08	0.05	55.13
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	1215736	382470	180000	30000	20000	21280489

Class eg:y						
Total	1215736	382470	180000	30000	20000	21280489
Total as a % of Total Voting rights	3.15	0.99	0.47	0.08	0.05	55.13
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.15	0.99	0.47	0.08	0.05	55.13
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						

Number of equity shares held in dematerialized form (XIV)	1215736	382470	180000	30000	20000	19925489
Reason for not providing PAN						

Any Other (specify)

Searial No.	
Category	Click here to go back
Name of the Shareholders (I)	
PAN (II)	Total
No. of the Shareholders (I)	6
No. of fully paid up equity shares held (IV)	23108695
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	23108695
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	59.86
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	23108695
Class eg:y	
Total	23108695
Total as a % of	

Total Voting rights	59.86
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	59.86
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in	21753695

dematerialized form (XIV)	
Reason for not providing PAN	

Foreign Portfolio Investors		
Searial No.	1	
Name of the Shareholders (I)	DJE-AGRAR & ERNAEHRUNG	Click here to go back
PAN (II)	AABAD6127F	Total
No. of fully paid up equity shares held (IV)	608000	608000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	608000	608000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.57	1.57
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	608000	608000
Class eg:y		
Total	608000	608000
Total as a % of Total Voting rights	1.57	1.57
No. Of Shares Underlying Outstanding		

convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.57	1.57
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	608000	608000
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)

Searial No.	1	
Category	Other	
Category / More than 1 percentage	Category	Click here to go back
Name of the Shareholders (I)	FOREIGN INSTITUTIONAL INVESTORS	
PAN (II)		Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	1600	1600
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1600	1600
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	1600	1600
Class eg:y		

Total	1600	1600
Total as a % of Total Voting rights	0	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0	0
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	0	0
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Clearing Members	Director or Director's Relatives	HUF	NRI – Non-Repatriate	NRI – Non- Repatriate	NRI – Repatriate
Category / More than 1 percentage	Category	Category	Category	Category	Category	More than 1 percentage of shareholding	Category
Name of the Shareholders (I)						NISHITA KIRIT SHAH	
PAN (II)						BKMPS5634P	
No. of the Shareholders (I)	406	65	1	297	62	1	177
No. of fully paid up equity shares held (IV)	953349	100560	50	500405	542247	491671	497578
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	953349	100560	50	500405	542247	491671	497578
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.47	0.26	0	1.3	1.4	1.27	1.29
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	953349	100560	50	500405	542247	491671	497578
Class eg:y							

Total	953349	100560	50	500405	542247	491671	497578
Total as a % of Total Voting rights	2.47	0.26	0	1.3	1.4	1.27	1.29
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.47	0.26	0	1.3	1.4	1.27	1.29
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	850149	100560	50	500405	542247	491671	489578
Reason for not providing PAN							

Reason for not providing PAN							
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Any Other (specify)			
Searial No.	8	9	
Category	Overseas corporate bodies	Overseas corporate bodies	
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)		NEAD TRADING & INVESTMENTS LIMITED	Click here to go back
PAN (II)		ZZZZZ9999Z	Total
No. of the Shareholders (I)	3	1	1011
No. of fully paid up equity shares held (IV)	770400	520000	3364589
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	770400	520000	3364589
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2	1.35	8.72
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	770400	520000	3364589
Class eg:y			

Total	770400	520000	3364589
Total as a % of Total Voting rights	2	1.35	8.72
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2	1.35	8.72
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	0	0	2482989
Reason for not providing PAN			
Reason for not			

providing PAN		Textual Information(1)	
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Text Block

Textual Information(1)

As the Nead Trading and Investment Limited is an Overseas Corporate body, Permanent Account Number is not applicable.

